

Electronic Acknowledgement Receipt

EFS ID:	3908865
Application Number:	10810012
International Application Number:	
Confirmation Number:	7758
Title of Invention:	Tracking account structure to support different leverage levels within an investment fund
First Named Inventor/Applicant Name:	James C. Houghton
Customer Number:	26285
Filer:	Mark G. Knedeisen/Michele Gray
Filer Authorized By:	Mark G. Knedeisen
Attorney Docket Number:	040150
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Amendment_Transmittal.pdf	255592 09116aef1154c08403f41230c46371844f940fe	no	4

Warnings:

Information:

2		Amendment.pdf	1536971 b144136009700b77acc8b2f45e070810c1d8f67ca	yes	18
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Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	12
Applicant Arguments/Remarks Made in an Amendment	13	18

Warnings:

Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	33056 e71804612b0ef104b4a04b2f18aa20976c572c0c	no	2
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Warnings:

Information:

Total Files Size (in bytes): 1825619

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

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